

Receipt date: 07/21/2006

10565050 - GAU: 1796



PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |   |    |   |                          |                        |
|--|---|----|---|--------------------------|------------------------|
| Substitute for form 1449A/B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                        |
|  |   |    |   | Application Number       | 10/565,050-Conf. #5372 |
|  |   |    |   | Filing Date              | February 15, 2006      |
|  |   |    |   | First Named Inventor     | Thomas Daniel          |
|  |   |    |   | Art Unit                 | 1713                   |
|  |   |    |   | Examiner Name            | Not Yet Assigned       |
|  |   |    |   | Attorney Docket Number   | 29827/41772            |
| Sheet  | 1 | of | 1 |                          |                        |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| /R.B./                |                       | US-4,539,376  | 09-03-1985                     | Goel et al.  |   |
|                       |                       | US-4,666,983  | 05-19-1987                     | Tsubakimoto et al.                                 |   |
|                       |                       | US-4,677,167  | 06-30-1987                     | Goel   |   |
|                       |                       | US-4,681,926  | 07-21-1987                     | Goel   |   |
|                       |                       | US-5,089,565  | 02-18-1992                     | Blum et al.  |   |
|                       |                       | US-5,331,059  | 07-19-1994                     | Engelhardt et al.                                  |   |
|                       |                       | US-5,385,983  | 01-31-1995                     | Graham   |   |
|                       |                       | US-6,472,478  | 10-29-2002                     | Funk et al.  |   |
|                       |                       | US-6,503,979  | 01-07-2003                     | Funk et al.  |   |
|                       |                       | US-6,559,239  | 05-06-2003                     | Riegel et al.                                      |   |
|                       |                       | US-6,657,015  | 12-02-2003                     | Riegel et al.                                      |   |
| /R.B./                |                       | US-20040231605-A1   | 11-25-2004                     | Newcomb et al.                                     |   |

| FOREIGN PATENT DOCUMENTS |                       |  |                                |  |   |                |
|--------------------------|-----------------------|--|--------------------------------|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
| /R.B./                   |                       | DE-37 20 883-A1  | 01-05-1989                     | Ashland Oil, Inc.                                  |   | ✓              |
| /R.B./                   |                       | DE-198 07 992-C1   | 07-15-1999                     | Clariant GmbH                                      |   | ✓              |
| /R.B./                   |                       | DE-198 07 502-A1   | 09-16-1999                     | BASF AG  |   | ✓              |
| /R.B./                   |                       | DE-198 54 573-A1   | 05-31-2000                     | BASF AG  |   | ✓              |
| /R.B./                   |                       | DE-198 54 574-A1   | 05-31-2000                     | BASF AG  |   | ✓              |
| /R.B./                   |                       | EP-0 083 022-A2  | 07-06-1983                     | Seitetsu Kagaku Co., Ltd.                          |   |                |
| /R.B./                   |                       | EP-0 346 669-A1  | 12-20-1989                     | Bayer AG   |   | ✓              |
| /R.B./                   |                       | EP-0 530 438-A1  | 03-10-1993                     | Hoechst Celanese Corporation                       |   |                |
| /R.B./                   |                       | EP-0 543 303-A1  | 05-26-1993                     | Cassella Aktiengesellschaft                        |   | ✓              |
| /R.B./                   |                       | JP-06 345978-A2  | 12-20-1994                     | Nippon Zeon Co                                     |   | ✓              |
| /R.B./                   |                       | WO-03/031482-A1  | 04-17-2003                     | BASF Aktiengesellschaft                            |   | ✓              |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials               | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| /R.B./                          |                       | International Search Report in PCT/EP2004/007398 dated December 10, 2004.   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                    |                |                 |            |
|--------------------|----------------|-----------------|------------|
| Examiner Signature | /Robert Boyle/ | Date Considered | 04/27/2009 |
|--------------------|----------------|-----------------|------------|